

A METHOD AND APPARATUS FOR DETECTING AND LOCATING NOISE  
SOURCES WHETHER CORRELATED OR NOT

FIELD OF THE INVENTION

The present invention relates to detecting and  
5 locating sources of noise in the general sense, using  
sensors that are appropriate for the nature of the noise  
source.

The invention relates to a method of detecting and  
locating noise sources disposed in a space of one, two,  
10 or three dimensions and optionally correlated with one  
another, and presenting emission spectra of narrow or  
broad band.

The invention finds particularly advantageous  
applications in the field of locating sources of noise  
15 optionally accompanied by echo and coming, for example,  
from vehicles, ships, aircraft, or firearms.

BACKGROUND OF THE INVENTION

In numerous applications, a need arises to be able  
to locate in relatively accurate manner a source of noise  
20 in order to take measures to neutralize it. Numerous  
solutions are known in the prior art for acoustically  
locating noise sources. The main known solutions make  
use of techniques for correlating signals delivered by  
detection sensors.

25 Those techniques present the drawback of being  
particularly sensitive to interfering noise occurring in  
the environment of the measurement sensors. Furthermore,  
it must be considered that those techniques constitute  
specific methods that are adapted to each application  
30 under consideration.

The technique in most widespread use involves  
antennas having a large number of sensors (several  
hundred) and a large computer system implementing beam  
forming so as to aim in a given direction in order to  
35 increase the signal-to-noise ratio. That method does not  
make any a priori assumption concerning the number of

sources and any possible correlation between them, which leads to a loss of resolution.

#### OBJECTS AND SUMMARY OF THE INVENTION

There therefore exists a need to have a general  
 5 method of detecting and locating noise sources in space, when the number of noise sources is small and is known or overestimated.

The invention seeks to satisfy this need by proposing a method of detecting and locating noise  
 10 sources by means of sensors adapted to the nature of the noise source, the method presenting low implementation costs.

To achieve this object, the method of the invention consists:

- 15     · in taking the time-varying electrical signals delivered by the sensors, each signal  $s_i(t)$  delivered by a sensor being the sum of the signals  $S_j$  emitted by the noise sources;
- in amplifying and filtering the time-varying electrical signals as taken;
- 20     · in digitizing the electrical signals;
- in calculating the functional  $f$ , such that:

$$f(\mathbf{n}_1, \dots, \mathbf{n}_j, \dots, \mathbf{n}_M) = \frac{\det(\langle \mathbf{T}_k(\omega), \mathbf{T}_1^*(\omega) \rangle)}{\det(\langle \mathbf{T}_k(\omega), \mathbf{T}_1^*(\omega) \rangle)} \quad \begin{matrix} k, 1 = 0 \text{ to } M \\ k, 1 = 1 \text{ to } M \end{matrix}$$

with

$$25 \quad (\mathbf{T}_k(\omega))_i = e^{j\omega \frac{\langle \mathbf{n}_k, \mathbf{c}_i \rangle}{c}}$$

$\langle \dots \rangle$  being the scalar product;  
     ..  $\mathbf{c}_i$  being the vector constructed between the center of gravity of the sensors and the position of sensor  $i$ ;

30     ..  $\mathbf{n}_j$  being the unit vector in the direction defined by the center of gravity of the sensors and source  $j$ ;

    .. with  $T_0 = s$ ; and

    .. with  $c$  = the speed of sound; and

· in minimizing the functional  $f$  relative to the vectors  $\mathbf{n}_j$  for  $j = 1$  to  $M$  in such a manner as to determine the directions  $\mathbf{n}_j$  of the noise sources.

#### BRIEF DESCRIPTION OF THE DRAWINGS

5 Various other characteristics appear from the description given below with reference to the accompanying drawing which shows embodiments and implementations of the invention as non-limiting examples.

10 Figure 1 is a diagram showing the principle of the detection method of the invention.

Figure 2 is a diagram showing a detail characteristic to the method of the invention.

#### MORE DETAILED DESCRIPTION

15 As can be seen in Figure 1, the method of the invention consists in locating noise sources  $X_1, X_2, \dots, X_j, \dots, X_M$  where  $j$  varies over the range 1 to  $M$ , the sources being distributed in space and each emitting a respective signal  $S_j$  with  $j$  varying in the range 1 to  $M$ .  
 20 The method of the invention consists in locating the noise sources  $X_j$  using sound wave or vibration sensors  $Y_1, Y_2, \dots, Y_i, \dots, Y_N$  where  $i$  varies over the range 1 to  $N$ , each delivering a respective time-varying electrical signal  $s_1, s_2, \dots, s_i, \dots, s_N$ .

25 The method consists in taking the time-varying electrical signals  $s_i(t)$  delivered by each of the sensors and representative of the sums of the signals  $S_j$  emitted by the noise sources  $X_j$ . The signals  $s_i(t)$  received on the  $N$  sensors on the basis of the sum of the contributions  
 30 of the various sources is written as follows:

$$s_i(t) = \sum_{j=1}^M A_{ij} S_j \left( t - \frac{r_{ij}}{c} \right)$$

where  $i = 1$  to  $N$ ,  $r_{ij}$  is the distance between the noise source  $X_j$  and the sensor  $Y_i$ , and  $c$  is the speed of sound in the ambient medium.

The term  $A_{ij}$  represents the attenuation due to propagation together with the sensitivity factor of the sensors and is expressed as follows:

$$A_{ij} = B_i C(r_{ij})$$

5 where  $i = 1$  to  $N$  and  $j = 1$  to  $M$ , where  $B_i$  is the sensitivity coefficient of sensor  $Y_i$  and where  $C(r_{ij})$  is the attenuation coefficient due to propagation over a distance  $r_{ij}$ .

10 The sensors  $Y_i$  are associated with respective electronic units (not shown) for amplifying and lowpass filtering the signals they pick up. The sensors are preferably matched in modulus and phase so that their sensitivities are identical. Thus,  $B_i = G$  for  $i = 1$  to  $N$ .

15 Advantageously, in order to facilitate implementing the antenna of sensors as defined above, the sensors  $Y_i$  are placed relatively close to one another.

Consequently, for remote sources, the distance  $r_{ij}$  is of the order of the distance  $r_j$ , i.e. the distance between the center of gravity of the sensors and the source  $X_j$ .  
20 Thus, attenuation becomes a function of the distance  $r_j$  only with  $C(r_{ij}) = C(r_j)$ , with  $i = 1$  to  $N$  and  $j = 1$  to  $M$ .

It can be deduced therefrom that:

$$A_{ij} = G.C(r_j) = a(r_j)$$

where  $i = 1$  to  $N$  and  $j = 1$  to  $M$  and:

$$25 \quad s_i(t) = \sum_{j=1}^M a(r_j) S_j \left( t - \frac{r_{ij}}{c} \right)$$

where  $i = 1$  to  $N$ .

Since the amplitudes of the sources  $X_j$  are unknown, the following equation can be written as follows, integrating the term  $a(r_j)$  in  $S_j$ :

$$30 \quad s_i(t) = \sum_{j=1}^M S_j \left( t - \frac{r_{ij}}{c} \right)$$

where  $i = 1$  to  $N$ .

Using Fourier transforms, the expression for the signals  $s_i(t)$  becomes:

$$(1) \quad \hat{s}_i(\omega) = \sum_{j=1}^M \hat{S}_j(\omega) \cdot e^{-j\omega \frac{r_{ij}}{c}}$$

where  $i = 1$  to  $N$

where  $\hat{s}$  and  $\hat{S}$  are the Fourier transforms of  $s$  and  $S$  respectively and where  $\omega$  is angular frequency.

5 This first equation (1) relates the received signals to the distance  $r_{ij}$ , i.e. to the positions of the sources  $X_j$ .

As can be seen in Figure 2, other relationships can be expressed, associated with geometrical considerations enabling the distances  $r_{ij}$  to be related to the unit vector  $\mathbf{n}_j$ , which determines the direction defined by the center of gravity of the sensors and the source  
10 generating the signal  $S_j$ . The position of the sensors is defined by the vector  $\mathbf{C}_i$  constructed from the positions of the sensors  $Y_i$  and the position of their center of gravity. A development restricted to the first order of  
15  $r_{ij}$  then provides:

$$(2) \quad r_{ij} \approx r_j - \langle \mathbf{n}_j, \mathbf{C}_i \rangle$$

where  $i = 1$  to  $N$  and  $j = 1$  to  $M$ , and where  $\langle \cdot, \cdot \rangle$  is the  
20 scalar product.

Thus, by replacing  $r_{ij}$  by the approximate expression given in (2) and integrating the phase term:

$$e^{-j\omega \frac{r_j}{c}}$$

which depends only on the source  $X_j$  in the magnitude  
25  $\hat{S}_j(\omega)$ , equation (1) can be written:

$$(3) \quad \hat{s}_i(\omega) = \sum_{j=1}^M \hat{S}_j(\omega) \cdot e^{-j\omega \frac{\langle \mathbf{n}_j, \mathbf{C}_i \rangle}{c}}$$

where  $i = 1$  to  $N$ .

This relationship can also be expressed in matrix and vector form:

$$30 \quad (4) \quad \hat{s}_i(\omega) = \sum_{j=1}^M \hat{S}_j(\omega) \cdot \mathbf{T}_j(\omega)$$

with, for  $i$ th coordinate of the vector  $\mathbf{T}_j$ :

$$(T_j)_i = e^{-j\omega \frac{\langle \mathbf{n}_j, \mathbf{c}_i \rangle}{c}}$$

where  $i = 1$  to  $N$ .

Or indeed:

$$(5) \quad \mathbf{s}(\omega) = T.S(\omega)$$

5 where  $T$  = matrix having the general term:

$$T_{ij} = e^{-j\omega \frac{\langle \mathbf{n}_j, \mathbf{c}_i \rangle}{c}}$$

In the presence of additive noise, equation (4) becomes:

$$(6) \quad \mathbf{s}_i(\omega) = \sum_{j=1}^M \hat{S}_j(\omega) \cdot T_j(\omega) + \mathbf{B}(\omega)$$

10 where  $\mathbf{B}$  is the noise vector which depends on  $\omega$ .

The method of the invention consists in determining the directions of the sources  $X_j$  defined by the vectors  $\mathbf{n}_j$  for  $j = 1$  to  $M$ .

When the sources  $X_j$  are arbitrary, i.e. correlated or  
15 non-correlated, the probability of the presence of Gaussian noise  $\mathbf{B}$  at the sensors  $Y_i$  is given by:

$$b.e^{-a\int |\mathbf{B}|^2 . d\omega}$$

where  $a$  and  $b$  depend on the variance.

Thus, from equation (6), the most probable position  
20 for the source is the position which minimizes the following magnitude:

$$\left\| \mathbf{s}(\omega) - \sum_{j=1}^M \hat{S}_j(\omega) \cdot T_j(\omega) \right\|^2$$

In other words, the projection of  $\mathbf{s}$  onto the direction orthogonal to the hyperplane generated by the  
25 vectors  $T_j$  for  $j = 1$  to  $M$  must be of minimum norm.

That constitutes the square of the height of the parallelepiped constructed on the vectors  $\mathbf{s}$  and  $T_j$ , said height  $h$  being calculated as the ratio of the volume  $V$  to the base area  $S$ , i.e.:

$$30 \quad h = \frac{V}{S}$$

The magnitudes  $V$  and  $S$  are expressed as a function of the determinants of the Gramm matrices in which the element  $(k, l)$  is constituted by the scalar product:

$$\langle T_k, T_l^* \rangle$$

5 with  $T_l^*$  being the vector that is the conjugate of  $T_l$ .

Thus,

$$S^2 = \det(\langle T_k, T_l^* \rangle \quad k, l = 1 \text{ to } M)$$

$$V^2 = \det(\langle T_k, T_l^* \rangle \quad k, l = 0 \text{ to } M)$$

10 in which it is assumed  $T_0 = s$ .

Thus:

$$\|B\|^2 = \frac{V^2}{S^2}$$

or indeed:

$$f(n_1, \dots, n_j, \dots, n_M) = \|B\|^2$$

15 This is a function of the vectors  $n_j$ , which in three dimensions depends only on two angles  $\theta_j$  and  $\varphi_j$ , i.e. elevation and azimuth, and on angular frequency  $\omega$ . Any *a priori* knowledge about the spectra of the sources  $\hat{S}_j$  can also be used. For example:

20 for narrow band noise sources, provision is made to minimize the following functional  $f_1$ :

$$(7) \quad f_1 = \sum_k \|B(\omega_k)\|^2$$

$\omega_k$  being the angular frequencies of interest; and

25 for broad band noise sources, provision is made to minimize the following functional  $f_2$  relating to the segments of interest:

$$(8) \quad f_2 = \int \|B(\omega)\|^2 d\omega$$

In practice, instead of calculating

$$\|B\|^2$$

30 it is possible to use a sub-optimal method which consists in replacing the denominator  $S^2$  by 1. It can then be shown, providing a search is being made for solution

directions  $n_j$  that are sufficiently distinct, that solutions can be obtained that are close to those given by the exact method. The denominator, which cancels when at least two sources coincide, serves to eliminate  
 5 interfering solutions where a plurality of directions are identical.

When the sub-optimal method comprises broad band processing, it comprises minimizing the following functional  $f_3$ :

$$10 \quad (9) \quad f_3 = \int \det(<T_k, T_l^*> \quad k, l = 0 \text{ to } M) d\omega$$

This magnitude, which is expressed as a linear combination of the cross-correlation functions  $\gamma_{ij}$  of the signals  $s_i$  and  $s_j$  taken at points which are themselves a linear combination of the delays:

$$15 \quad \frac{\langle n_j, c_i \rangle}{c}$$

These cross-correlation functions are calculated only for delays having the same order of magnitude as the dimensions of the antenna divided by the speed of sound. Calculation can then advantageously be performed in the  
 20 time domain as compared with calculation that is usually performed in the frequency domain on the basis of Fourier transforms.

The above-described method thus consists:

- starting from an antenna comprising a plurality of  
 25 sensors (two to ten, and preferably two to five) in picking up acoustic or vibratory information;
- in amplifying and in filtering the received signals so as to limit their spectrum and match the sensors in phase and in gain;
- 30 • in digitizing the signals; and
- in minimizing the projection of the vector  $s$  onto the direction orthogonal to the vectors  $T_j$ , using the following two calculation techniques a) and b):
- a) + in obtaining the Fourier transforms of the  
 35 signals  $s_i$ ;



+ in calculating one of the functionals  $f_1, f_2$ ,  
using the above-defined expressions for the Gramm matrix  
determinants; and

+ minimizing one of the functionals  $f_1, f_2$   
5 depending on the number of sources to be located;

b) + in using a simplified algorithm which  
consists in minimizing the functional  $f_3$ ;

+ in calculating the cross-correlation  
functions  $\gamma_{ij}$ ; and

10 + in minimizing a linear combination of the  
cross-correlation functions, depending on the number of  
sources.

Once the minimization operation has been performed,  
the directions  $n_j$  of the noise sources are determined.  
15 Advantageously, it is also possible to recover the  
characteristics of the noise sources  $X_j$ .

If  $N = M$ , i.e. if there are as many sensors as  
sources, then the system (5) can in general be inverted.

If  $N \geq M$ , the problem can be reduced to a square  
20 system by premultiplying by:

$${}^tT^*$$

i.e. by the conjugate transposed matrix of  $T$ . System (5)  
then becomes:

$${}^tT^* \cdot S(\omega) = {}^tT^* \cdot T \cdot S(\omega)$$

25 I.e.

$$(10) \quad S(\omega) = ({}^tT^* \cdot T)^{-1} \cdot {}^tT^* \cdot S(\omega)$$

From equation (10), the signals  $S_j$  can be calculated  
so as to discover the characteristics of the sources  $X_j$ .

The description below gives an implementation for  
30 detecting one noise source ( $M = 1$ ) using  $N$  sensors.

This provides:

$$\|B(\omega)\|^2 = \frac{\left\| \frac{\sum_{i=1}^N \hat{s}_i(\omega) e^{-j\omega \frac{\langle \mathbf{n}, \mathbf{c}_i \rangle}{c}}}{N} \right\|^2}{N}$$

i.e.:

$$\|B(\omega)\|^2 = \frac{1}{N} \left[ (N-1) \|s(\omega)\|^2 - \sum_{\substack{k,l \\ k \neq l}} \hat{s}_k(\omega) \hat{s}_l(\omega) e^{j\omega \frac{\langle \mathbf{n}, \mathbf{c}_1 - \mathbf{c}_k \rangle}{c}} \right]$$

For broad band sources, it is thus a question of  
5 minimizing:

$$\int \|B(\omega)\|^2 d\omega = \frac{1}{N} \left[ (N-1) \int \|s(\omega)\|^2 d\omega - \sum_{\substack{k,l \\ k \neq l}} \text{Re} \left\{ \int \hat{s}_k(\omega) \hat{s}_l(\omega) e^{j\omega \frac{\langle \mathbf{n}, \mathbf{c}_1 - \mathbf{c}_k \rangle}{c}} d\omega \right\} \right]$$

I.e. writing the cross-correlations between the  
measured signals as  $\gamma_{kl}$ :

$$10 \quad \int \|B(\omega)\|^2 d\omega = \frac{1}{N} \left[ (N-1) \int \|s(\omega)\|^2 d\omega - \sum_{\substack{k,l \\ k \neq l}} \gamma_{kl} \left( \frac{\langle \mathbf{n}, \mathbf{c}_1 - \mathbf{c}_k \rangle}{c} \right) \right]$$

The problem thus reduces to maximizing:

$$\sum_{\substack{k,l \\ k \neq l}} \gamma_{kl} \left( \frac{\langle \mathbf{n}, \mathbf{c}_1 - \mathbf{c}_k \rangle}{c} \right)$$

by varying  $\mathbf{n}$  which depends on only  $Np$  parameters, where  
 $Np$  is equal to the dimension of the space minus 1 unit.

Without further elaboration, it is believed that one skilled in the art can, using the preceding description, utilize the present invention to its fullest extent. The preceding preferred specific embodiments are, therefore, to be construed as merely illustrative, and not limitative of the remainder of the disclosure in any way whatsoever. Also, any preceding examples can be repeated with similar success by substituting the generically or specifically described reactants and/or operating conditions of this invention for those used in such examples.

Throughout the specification and claims, all temperatures are set forth uncorrected in degrees Celsius and, all parts and percentages are by weight, unless otherwise indicated.

The entire disclosures of all applications, patents and publications, cited herein are incorporated by reference herein.

From the foregoing description, one skilled in the art can easily ascertain the essential characteristics of this invention and, without departing from the spirit and scope thereof, can make various changes and modifications of the invention to adapt it to various usages and conditions.